# FORM FOR NOTIFICATION OF MAJOR HOLDINGS <br> (Greek law 3556/2007, as in force, articles 9, 10, 11, 11A) 

(to be sent to the issuer and to the Hellenic Capital Market Commission)

1. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attachedii:
2. Reason for the notification (please tick the appropriate box or boxes):
[ ] An acquisition or disposal of voting rights
[ ] An acquisition or disposal of financial instruments
[ ] An event changing the breakdown of voting rights
[ ] Other (please specify)iii:
3. Details of person subject to the notification obligation ${ }^{\text {iv }}$ :

Name:
City and country of registered office (if applicable):
4. Full name of shareholder(s) (if different from 3) ${ }^{\mathrm{v}}$ :
5. Date on which the threshold was crossed or reached ${ }^{\text {vi }}$
6. Total positions of person(s) subject to the notification obligation vii:

|  | \% of voting rights at- <br> tached to shares <br> (total of 7.A) | \% of voting rights <br> through financial <br> instruments <br> (total of 7.B.1 + 7.B.2) | Total of both in \% <br> (7.A+7.B.1+7.B.2) | Total number of <br> voting rights of <br> issuerviii |
| :--- | :---: | :---: | :--- | :---: |
| Resulting situation <br> on the date on which <br> threshold was <br> crossed or reached |  |  |  |  |
| Position of previous <br> notification <br> (if applicable) |  |  |  |  |

7. Notified details of the resulting situation on the date on which the threshold was crossed or reached ${ }^{i x}$ :

## A: Voting rights attached to shares

| Class/type of shares <br> (include the ISIN code) | Number of voting rights ${ }^{\mathbf{x}}$ |  | \% of voting rights |  |
| :--- | :--- | :--- | :--- | :--- |
|  | Direct <br> (article 9 <br> of law 3556/2007) | Indirect <br> (article 10 <br> of law 3556/2007) | Direct <br> (article 9 <br> of law 3556/2007) | Indirect <br> (article 10 <br> of law 3556/2007) |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| SUBTOTAL A: |  |  |  |  |

B.1: Financial Instruments according to article 11 par 1.a) of law 3556/2007, as in force.

| Type of financial <br> instrument | Expiration <br> datex | Exercise/Conversion <br> Periodxii | Number of voting rights <br> that may be acquired if <br> the instrument is <br> exercised/converted | \% of voting rights |
| :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  | SUBTOTAL B.1 |  |  |

B.2: Financial Instruments with similar economic effect, according to article 11 par 1.b) of law 3556/2007, as in force.

| Type of financial <br> instrument | Expiration <br> Date | Exercise/Conver- <br> sion Period ${ }^{\text {xii }}$ | Physical or cash <br> settlement | Number of vot- <br> ing rights ${ }^{\text {xiv }}$ | \% of voting <br> rights |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

8. Information in relation to the person subject to the notification obligation (please tick the appropriate box):
[ ] Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer*v.
[ ] Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, starting with the ultimate controlling natural person ${ }^{\text {xvi }}$ or legal entity ${ }^{\text {xvii }}$ :

| Name ${ }^{\text {xviii }}$ | \% of voting rights if it <br> equals or is higher <br> than the notifiable <br> threshold | \% of voting rights <br> through financial in- <br> struments if it equals <br> of is higher that the <br> notifiable threshold | Total of both if it <br> equals or is higher <br> than the notifiable <br> threshold |
| :--- | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## 9. In case of proxy voting:

Mr/Mrs
(name of the proxy holder)
will cease to hold .(number) voting rights as of (date).
10. Additional Information ${ }^{\text {xix }}$ :
$\qquad$
$\qquad$
$\qquad$

## Annex to the Notification of major holdings ${ }^{\text {xx }}$

A: Identity of the person subject to the notification obligation
Full name (including legal form for legal entities)

Contact address (registered office for legal entities)

E-Mail

Phone / Fax Number

Contact Person (in case of legal entity)

Other useful information

B: Identity of the notifier, if notification is submitted by another person on behalf of the person named in $A$.
Full name

Contact address

E-Mail

Phone / Fax Number

Contact Person (in case of legal entity)

Other useful information (e.g. functional relationship with the person or legal entity subject to the notification obligation)

## C: Additional information:

## Notes to the Notification Form:

${ }^{i}$ This form is: ( $\alpha$ ) to be sent to the issuer or to the underlying issuer in case of financial instruments, according to article 11 of law 355/2007as in force, and (b) to be submitted, legally signed, to the Protocol Dept. of the Hellenic Capital Market Commission (1 Kolokotroni and Stadiou Str., 10562 Athens, Greece), c/o Directorate of Listed Companies, Dept. of Continuous Information, indicating «Form of Notification of Major Holdings». The legally signed form can also be sent either to the fax No.: +30-210-33.77.243 or to the e-mail address: "tr1@cmc.gov.gr". In each case, the form should be dully filled and include a cover page, with, at least, the name of the sender, his/her signature, phone number and the number of pages that follow.
${ }^{\text {ii }}$ Full name of the natural person or legal entity and further specification of the issuer or underlying issuer, provided it is reliable and accurate.
iii Other reason for the notification could be changes of attribution of the nature of the holding (e.g. expiring of financial instruments), acting in concert, etc.
iv This should be the full name of (a) the shareholder; (b) the natural person or legal entity acquiring, disposing of or exercising voting rights in the cases provided for in article 10 (b) to (i) of law 3556/2007, as in force; (c) all the parties to the agreement referred to in article 10 (a) of law 3556/2007, as in force, or (d) the holder of financial instruments referred to in article 11 par. 1 of law 3556/2007, as in force.
In relation to the transactions referred to in points (b) to (h) of article 10 of law 3556/2007 as in force, the following list is provided as indication of the persons who should be mentioned:

- in the circumstances foreseen in letter (b) of article 10: the natural person or legal entity that acquires the voting rights and is entitled to exercise them under the agreement and the natural person or legal entity who is transferring temporarily for consideration the voting rights;
- in the circumstances foreseen in letter (c) of article 10: the natural person or legal entity holding the collateral, provided the person or entity controls the voting rights and declares its intention of exercising them, and natural person or legal entity lodging the collateral under these conditions;
- in the circumstances foreseen in letter (d) of article 10: the natural person or legal entity who has a life interest in shares, if that person or entity is entitled to exercise the voting rights attached to the shares and the natural person or legal entity who is disposing of the voting rights when the life interest is created;
- in the circumstances foreseen in letter (e) of article 10: the controlling natural person or legal entity and any controlled undertaking, provided it has a notification duty at an individual level under article 9, under letters (a) to (d) of article 10 or under a combination of any of those situations;
- in the circumstances foreseen in letter (f) of article 10: the deposit taker of the shares, if he/she can exercise the voting rights attached to the shares deposited with him/her at his/her discretion, and the depositor of the shares allowing the deposit taker to exercise the voting rights at his/her discretion;
- in the circumstances foreseen in letter (g) of article 10: the natural person or legal entity that controls the voting rights;
- in the circumstances foreseen in letter (h) of article 10: the proxy holder, if he/she can exercise the voting rights at his/her discretion, and the shareholder who has given his/her proxy to the proxy holder;
- in the circumstances foreseen in letter (i) of article 10: the management companies.
${ }^{v}$ Applicable in the cases provided for in article 10 (b) to (h) of law 3556/2007, as in force. This should be the full name of the shareholder who is the counterparty to the natural person or legal entity referred to in article 10 of law 3556/2007 as in force, unless the percentage of voting rights held by the shareholder is lower than the lowest $5 \%$ threshold.
vi The date on which threshold is crossed or reached should be the date on which the acquisition or disposal took place or any other reason triggering the notification obligation. For passive crossings, the date when the corporate event took place.
vii If the holding is below 5\%, it is not necessary to disclose the extent of holding, only that the holding is "below minimum threshold".


## Notes to the Notification Form:

viii The total number of voting rights shall be composed of all the shares, including depository receipts representing shares, to which voting rights are attached even if the exercise thereof is suspended.
${ }^{i x}$ If the holding has fallen below 5\%, it is not necessary to disclose the extent of holding, only that the new holding is "below minimum threshold".

* In case of combined "direct" and "indirect" holding of voting rights, please split the voting rights number and percentage into the direct and indirect columns. If holdings are exclusively held "direct" or "indirect", please put a dash (-) at the other column.
${ }^{\text {xi }}$ Date of maturity/expiration of the financial instrument, i.e. the date when right to acquire shares ends.
xii If the financial instrument has such a period, please specify this period. For example "once every 3 months starting from [date]".
xiii "Physical delivery" or "cash settlement" is filled.
xiv In case of cash settled instruments, the number and percentage of voting rights is to be presented on a delta-adjusted basis.
${ }^{x v}$ If the person subject to the notification obligation is either controlled and/or does control another undertaking, then the second option applies.
${ }^{\text {xvi }}$ The ultimate natural person is required to submit a separate notification.
xvii The notification should include the name(s) of the controlled undertakings, through which the voting rights are held. The notification should, also, include the percentage of voting rights held by each controlled undertaking, insofar as individually the controlled undertaking holds a percentage of voting rights equivalent to or higher than the $5 \%$ threshold. The full chain of controlled undertakings, starting with the ultimate controlling natural person or legal entity, has to be presented also in the cases in which only on subsidiary level a threshold is crossed or reached and the subsidiary undertaking discloses the notification. In case of multiple chains, through which the voting rights and/or financial instruments are effectively held, the chains have to be presented chain by chain.
xviii The names of controlled undertakings, through which the voting rights and/or financial instruments are effectively held, have to be presented irrespectively whether the controlled undertakings cross or reach the lowest applicable threshold themselves (5\%).
${ }^{\text {xix }}$ Any other necessary or useful information, for the completeness of the disclosure.
${ }^{x x}$ This Annex is to be sent only to the competent authority.

